

10/12/01

045 U.S. PTO  
09/27/257

10/12/01

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

For new nonprovisional applications under 37 CFR 1.53(b)

Attorney Docket No.

8229-013-27

First Inventor or Application Identifier

Sundar NARAYANAN

Title

A NOVEL SELF MONITORING PROCESS FOR ULTRA THIN OXIDATION

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents

1. ☒ Fee Transmittal Form (e.g. PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
- ☐ Applicant claims small entity status.
2. ☒ Specification Total Pages **21**
3. ☒ Drawing(s) (35 U.S.C. 113) Total Sheets **4**
4. ☐ Oath or Declaration Total Pages
- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 C.F.R. §1.63(d))  
(for continuation/divisional with box 16 completed)
- ☐ Incorporation By Reference (usable if box 4B is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4B, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231**ACCOMPANYING DOCUMENTS**

6. ☐ Assignment Papers (cover sheet & document(s))
7. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
8. ☐ English Translation Document (if applicable)
9. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
10. ☐ Preliminary Amendment
11. ☒ White Advance Serial No. Postcard
12. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
13. ☐ Request for Priority
14. ☒ List of Inventors' Names and Addresses
15. ☐ Other:

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

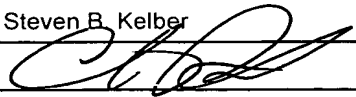
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application no.:

Prior application information: Examiner:

Group Art Unit:

17. Amend the specification by inserting before the first line the sentence:

☒ This application is a ☐ Continuation ☐ Division ☐ Continuation-in-part (CIP)  
of application Serial No. Filed on☒ This application claims priority of provisional application Serial No. Filed**18. CORRESPONDENCE ADDRESS**Supervisor, Patent Prosecution Services  
PIPER MARBURY RUDNICK & WOLFE LLP  
1200 Nineteenth Street, N.W.  
Washington, D.C. 20036-2412  
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Name	Steven B. Kelber	Registration No.	30,073
Signature		Date	OCT. 12, 2001
Name	Christopher W. Raimund	Registration No.	47,258
		Telephone	202-861-3900

# FEE TRANSMITTAL

Docket No. 8229-013-27  
 Serial No. New Application  
 Filing Date Herewith  
 Inventor(s) Sundar NARAYANAN, et al.  
 Group Art Unit

TOTAL AMOUNT OF PAYMENT \$906.00

Examiner

1. ☐ Applicant claims small entity status.
- ☐ Charge any UNDERPAYMENT or credit any OVERPAYMENT in the indicated fees to Deposit Account No. 50-1442.
- ☐ Charge the indicated fees to Deposit Account No. 50-1442.

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Small Entity Fee Description

2. ☒ Check enclosed.

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge-late filing fee or oath	\$130.00

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
			127	50	227	25	Surcharge-late provisional filing fee or cover sheet	
			139	130	139	130	Non-English specification	
			147	2520	147	2520	Ex parte reexam. fee	
		Utility filing fee	115	110	215	55	1-mo. ext. of time	
		Design filing fee	116	400	216	200	2-mo. ext. of time	
		Plant filing fee	117	920	217	460	3-mo. ext. of time	
		Reissue filing fee	118	1440	218	720	4-mo. ext. of time	
		Provisional filing fee	128	1960	228	980	5-mo. ext. of time	
SUBTOTAL (1)			119	320	219	160	Notice of Appeal	

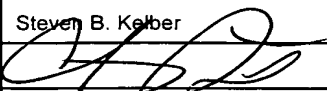
#### 2. EXTRA CLAIM FEES

tot. claims	22	-	20*	=	2	x	\$18	=	36	121	280	221	140	Request for Oral Hearing	
ind. claims	3	-	3*	=	0	x	\$84	=	0	142	1280	242	640	Utility/Reissue Issue Fee	
<input type="checkbox"/> Multiple Dependent Claims \$280 =										143	460	243	230	Design Issue Fee	
Large Entity Small Entity Fee Description										144	620	244	310	Plant Issue Fee	
Fee Code Fee (\$)										122	130	122	130	Petitions to the Commissioner	
103 18 203 9 Claims in excess of 20										126	180	126	180	IDS Submission	
102 84 202 42 Independent claims in excess of 3										581	40	581	40	Assignment	
104 280 204 140 Multiple dependent claim, if not paid										179	740	279	370	For Filing RCE	
109 84 209 42 *Reissue independent claims over original patent										169	900	169	900	Expedited Design	
110 18 210 9 *Reissue claims in excess of 20 and over original patent										OTHER (indicate below):					

SUBTOTAL (2) \$36.00

\* or number previously paid, if greater; For Reissues, see above

SUBTOTAL (3) \$130.00

Name	Steven B. Kelber	Registration No.	30,073
Signature		Date	OCT. 12, 2001
Name	Christopher W. Raimund	Registration No.	47,258
Telephone	202-861-3900		

DOCKET NO. 8229-013-27

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Sundar NARAYANAN, et al.

ART UNIT:

SERIAL NO.: New Application

EXAMINER:

FILING DATE: Herewith

FOR: A NOVEL SELF MONITORING PROCESS FOR ULTRA THIN GATE  
OXIDATION

**LIST OF INVENTORS' NAMES AND ADDRESSES**

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Listed below are the names and addresses of the inventors for the above-identified patent application.

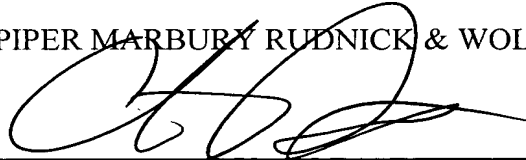
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3423 Fowler Avenue  
Santa Clara, CA 95051

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1193 Lynbrook Way  
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A declaration containing all the necessary information will be submitted at a later date.

Respectfully submitted,

PIPER MARBURY RUDNICK & WOLFE LLP



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